

# SHREEVATSAA FINANCE & LEASING LIMITED

120/500 (10), LAJPAT NAGAR, KANPUR-208005

Email: [investors.svfl@rediffmail.com](mailto:investors.svfl@rediffmail.com)

## Introductory sub-table (I)(a)

<b>Name of the Company:</b> SHREEVATSAA FINANCE & LEASING LIMITED			
<b>Scrip Code, Name of the scrip, class of security:</b> 532007, SHVFL, EQUITY			
<b>Quarter ended:</b> 30.09.2013			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	0	0
Held by public	10000	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	10100000		



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## Introductory sub-table (I)(a)

<b>Name of the Company: SHREEVATSAA FINANCE &amp; LEASING LIMITED</b>			
<b>Scrip Code, Name of the scrip, class of security: 532007, SHVFL, EQUITY</b>			
<b>Quarter ended: 30.09.2013</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	101000000		



(I)(a) **Statement showing Shareholding Pattern**Name of the Company: **Shree Vatsaa Finance & Leasing Ltd.**Code: **532007**Quarter ended: **30.09.2013**

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
(1)	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	1	8002000	8002000	79.22	79.22	N.A.	N.A.
(b)	Central Government/ State Government(s)	Nil						
(c)	Bodies Corporate	1	1049300	1049300	10.39	10.39	N.A.	N.A.
(d)	Financial Institutions/ Banks	Nil						
(e)	Any Other (specify)	Nil						
	<b>Sub-Total (A)(1)</b>	<b>2</b>	<b>9051300</b>	<b>9051300</b>	<b>89.61</b>	<b>89.61</b>	<b>N.A.</b>	<b>N.A.</b>
(2)	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	Nil						



Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(b)	Bodies Corporate	Nil						
(c)	Institutions	Nil						
(d)	Any Other (specify)	Nil						
	<b>Sub-Total (A)(2)</b>	Nil					N.A.	N.A.
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	2	9051300	9051300	89.61	89.61	N.A.	N.A.
(B)	<b>Public shareholding</b>							
(I)	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	Nil						
(b)	Financial Institutions/ Banks	Nil						
(c)	Central Government/ State Government(s)	Nil						
(d)	Venture Capital Funds	Nil						
(e)	Insurance Companies	Nil						
(f)	Foreign Institutional Investors	Nil						
(g)	Foreign Venture Capital Investors	Nil						



Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(h)	Any Other (specify)	Nil						
	<b>Sub-Total (B)(1)</b>	<b>Nil</b>						
<b>(2)</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	9	663680	Nil	6.58	6.58	N.A.	N.A.
(b)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	43	58820	Nil	.59	.59	N.A.	N.A.
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	7	326200	Nil	3.22	3.22	N.A.	N.A.
(c)	Any Other (specify)	N.A.						
	<b>Sub-Total (B)(2)</b>	<b>59</b>	<b>1048700</b>	<b>Nil</b>	<b>10.39</b>	<b>10.39</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>59</b>	<b>1048700</b>	<b>Nil</b>	<b>10.39</b>	<b>10.39</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>TOTAL (A)+(B)</b>	<b>61</b>	<b>10100000</b>	<b>9051300</b>	<b>100</b>	<b>100</b>	<b>N.A.</b>	<b>N.A.</b>



Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0	N.A.	N.A.
(1)	Promoter and Promoter Group	0	0	0	0	0	N.A.	N.A.
(2)	Public	0	0	0	0	0	N.A.	N.A.
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>61</b>	<b>10100000</b>	<b>9051300</b>	<b>100</b>	<b>100</b>	<b>N.A.</b>	<b>N.A.</b>

NA – Not Applicable

(I)(b) Statement showing Shareholding of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Total Shares held		Shares pledged or otherwise encumbered		
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a %	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a)
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)
1.	Mr. Praveen Kumar Arora	8002000	79.22	N.A.	N.A.	N.A.
2.	Agarni Leasing & Finance Pvt. Ltd.	1049300	10.39	N.A.	N.A.	N.A.
	<b>TOTAL</b>	<b>9051300</b>	<b>89.61</b>			



(I)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	Garlon Finance & Leasing Pvt. Ltd.	118700	1.18
2.	K. B. Agarwal	190000	1.89
3.	Saral Vanijya Pvt. Ltd.	149000	1.48
4.	SNT Securities Ltd.	256200	2.54
<b>TOTAL</b>		<b>713900</b>	<b>7.09</b>

(I)(c) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	N.A.		
2.	N.A.		
<b>TOTAL</b>			



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	N.A.			
2.	N.A.			
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	N.A.			
2.	N.A.			
TOTAL				

For Shreevatsaa Finance & Leasing Limited

Ashish Thakur  
(Compliance Officer)

